KEK XING YAO

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**CAREER HISTORY**

**PricewaterhouseCoopers LLP (PwC Singapore) Sep 2012 to present**

**Assurance (Financial Services – Asset and Wealth Management department)**

Assistant Manager

Responsibilities:

* Led and performed audit fieldwork which include formulation of audit plans, engagement budgeting, risk assessment, evaluation of internal controls and preparation of financial statements
* Identified risks and controls deficiencies in the business processes and assisted the audit report process to highlight audit findings to the Management
* Performed compliance and regulatory audit in relation to requirements under the Securities and Futures Act for Capital Markets Services License holders for fund management companies, including attestation of MAS forms
* Performed controls testing in relation to ISAE 3402 certification for investment management companies.
* Key audit clients include:
  + Funds: Nikko AM, Schroder, Henderson, Fullerton Private Limited, NTUC Income
  + Fund management companies: Aberdeen Asset Management (SG, HK and AU entities), Schroder Investment Management (SG and HK entities). The engagements require extensive involvement in regional coordination work relating to centralised controls and substantive testing with PwC firms globally.
  + ISAE certification work: BNP Paribas Fund Administration, Aberdeen Asset Management

Achievements:

* Rated as Exceptional performer for FY2015 and FY2016 annual performance ratings
* Seconded to PwC Ireland from February to May 2016
  + Team-in-charge for audit of International Fund Services administered funds
  + Rated as Exceptional performer
* Awarded “PwC Experience” awards for investing in relationships and enhancing client value

**EDUCATIONAL BACKGROUND AND QUALIFICATIONS**

**Institute of Singapore Chartered Accountants**

Chartered Accountant of Singapore since 2015

**Nanyang Technological University, Singapore**

Bachelor of Accountancy – 2nd Upper Honours with cumulative GPA of 4.45

Aug 2009 – Jul 2012

**SKILLS AND PROFICIENCIES**

* Proficient in:
  + Singapore Financial Reporting Standards (SFRS)
  + International Financial Reporting Standards (IFRS)
  + Recommended Accounting Practice 7 (RAP 7) – Reporting Framework for Unit Trusts
  + Singapore Standards on Auditing (SSA)
  + International Standards on Auditing (ISA)
  + Capital Markets Services License requirements for fund management companies
* Proficient in English and Mandarin
* Proficient in MS office applications

**CO-CURRICULAR ACTIVITIES**

**National Service - Army**

* Awarded Sword of Merit (Top 10%) for Officer Cadet Course in 2007
* Promoted to Captain in July 2013
* Appointed as Company 2IC to oversee and coordinate trainings and exercises

**NTU Canoe Polo 2009 to 2011**

* Appointed as Vice-Captain from Jul 2010 to Jun 2011
* Champions of National Championships 2010 and 2011
* Awarded the NTU Colours Award in 2012

**HOBBIES / INTERESTS**

* Football, movies